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# Factors Associated with Work Participation and Work Functioning in Depressed Workers: A Systematic Review

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**Abstract** *Background* Depression is associated with negative work outcomes such as reduced work participation (WP) (e.g., sick leave duration, work status) and work functioning (WF) (e.g., loss of productivity, work limitations). For the development of evidence-based interventions to improve these work outcomes, factors predicting WP and WF have to be identified. *Methods* This paper presents a systematic literature review of studies identifying factors associated with WP and WF of currently depressed workers. *Results* A total of 30 studies were found that addressed factors associated with WP ( $N = 19$ ) or WF ( $N = 11$ ). For both outcomes, studies reported most often on the relationship with disorder-related factors, whereas personal factors and work-related factors were less

frequently addressed. For WP, the following relationships were supported: strong evidence was found for the association between a long duration of the depressive episode and work disability. Moderate evidence was found for the associations between more severe types of depressive disorder, presence of co-morbid mental or physical disorders, older age, a history of previous sick leave, and work disability. For WF, severe depressive symptoms were associated with work limitations, and clinical improvement was related to work productivity (moderate evidence). Due to the cross-sectional nature of about half of the studies, only few true prospective associations could be identified. *Conclusion* Our study identifies gaps in knowledge regarding factors predictive of WP and WF in depressed workers and can be used for the design of future research and evidence-based interventions. We recommend undertaking more longitudinal studies to identify modifiable

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factors predictive of WP and WF, especially work-related and personal factors.

**Keywords** Depressive disorder · Occupational health · Work disability · Workplace · Sick leave · Unemployment

## Introduction

### Depression in the Workforce

Depression is known to affect many aspects of life, including work [1]. The 12-month prevalence rates of depression in the working population vary between 3.4 and 6.0% for mood disorder in European countries [2, 3] and is 6.4% for major depressive disorder in the United States [4]. Many workers experience negative effects of the depressive disorder on functioning at work [1, 5]. Next to individual suffering, depression-related work problems have high cost implications for employers and society [5, 6]. Adverse consequences of depression on work can be indexed by different work outcome measures, such as presenteeism, productivity loss, decrease in work quality, mistakes and errors, work accidents, sickness absence, disability pensions and unfavorable career perspectives. The different work outcomes can be conceptualized as either addressing “Work Participation” or “Work Functioning”.

### Impact of Depression on Work Participation

Work participation (WP) has been conceptualized in this paper as the capability and/or opportunity to participate in the workforce, fulfilling one’s work role. This includes ‘time-based measures’ (e.g., time to return to work) and status-based measures (e.g., work status). Participation problems can include serious problems to enter the labor market, short-term work disability such as episodes of absenteeism, long-term or permanent work disability and employment termination such as unemployment or early retirement. Several studies show that WP is substantially affected by depression, but the magnitude and nature of the effects described vary across the work outcome measures used, the study populations and study settings.

The effect of depression on WP has been the topic of several large epidemiological studies. Some of these studies compare the WP of a non-depressed group with a clinically depressed group, whereas, other studies relate the level of depressive symptoms of persons in the general population to their level of work participation. Studies in non-clinical populations have, for example, examined the relationship between depressive symptoms and (long-term) sickness absence [7, 8], disability pension award [9], and unemployment [10]. Other studies that compare clinically

depressed groups with other non-depressed groups show that depressed workers have more short-term work disability compared to non-depressed workers and, interestingly, compared to workers with a physical condition [11, 12]. In addition, depression in workers has been associated with a longer duration of sickness absence compared to non-depressed workers [1, 13]. Lerner and Henke [1] reviewed several clinical, population-based and worksite studies and found that, compared to non-depressed individuals, those with depression have more work absences and more instances of new unemployment. The impact of depression on unemployment has been the topic of various other studies. Cross-sectional population-based studies revealed higher rates of unemployment in depressed groups [14–16]. The assumption that depression is a risk factor for subsequent job loss is substantiated by longitudinal studies in employed primary care patients [11], young workers [10], and aging workers [17]. Finally, some studies indicate that depressed workers have more early retirement [18] compared to workers without depression.

### Impact of Depression on Work Functioning

The work functioning of workers suffering from depression is (adversely) affected in various ways [1]. Work functioning refers to the productivity or performance of employees that participate, at least partly, in work, and is the result of a relationship between an individual’s health resources and the expectations and structural conditions that operate within social settings such as the workplace [19]. So where work participation differentiates between people ‘off work’ or ‘at work’, work functioning is an ‘at work measure’, distinguishing between individuals that function differently at work. Work functioning has been categorized in this paper as proposed by Amick and Gimeno [20]. They describe two categories of work functioning that can be used to describe the impact of a health condition. The first category deals with the economic consequences of health conditions such as, self-reported loss of productivity at the job [5, 21]. Depression has been associated with such decrements in work productivity [21–23]. The second category quantifies the impact of a health condition on work role functioning by the limitations that workers experience in fulfilling their work tasks. Studies on depressed workers have demonstrated difficulty in meeting mental-interpersonal demands, time management demands, output demands and, in some cases, physical demands [11, 22, 24, 25]. In addition to the reported decrease in work productivity and increase in work limitations, Dewa and Lin [26] demonstrated that workers with depression could only achieve acceptable work functioning with extra effort. Depressed workers reported, on average, 11.6 of these ‘extra effort days’ in the previous 30 days.

## Need for Development and Evaluation of Interventions

Considering the severe consequences of depression, it is important that effective interventions with respect to work functioning and work participation be available. A recent Cochrane review on depressive disorders showed, however, that the evidence for the effectiveness of existing worker-directed ‘clinical’ interventions on work outcomes was limited [27]. Nieuwenhuijsen et al. conclude that it remains unclear whether worker- or work-directed interventions can reduce sickness absence in depressed workers. In this Cochrane review, no studies reporting on workplace interventions were found, and only one study addressed work issues as part of the clinical treatment [28]. Based on these results, it can be concluded that a need exists to develop and evaluate interventions that enhance work functioning and work participation in depressed workers. This notion is supported by Lerner and Henke [1] who stress the need to develop intervention programs, especially interventions that address workplace issues.

To develop new interventions, it is important to know which factors influence work participation and work functioning. Although the existing literature includes many studies on the relationship between depression and work outcomes, it remains largely unclear which factors might enhance or hinder favorable work outcomes for workers who are currently depressed.

A multidisciplinary expert group including researchers and care providers, identified possible predictive factors of work outcomes departing from the WHO ICF model [29]. This model was selected because it provides broad view on predictors of functioning and participation in work, taking the multidimensional nature of these concepts into account. This broad ‘biopsychosocial’ perspective might offer new opportunities for interventions as compared to traditional medical models. In accordance to the study of Sanderson [30] who applied the ICF to a population of workers with mental health problems, work functioning and work participation can be situated in the ICF domains ‘Activities’ (e.g., limitations in work activities or performance) and ‘Participation’ (e.g., absenteeism). The expert group brainstormed on possible predictive factors for both work outcomes in depressed workers focusing on personal, work-related and disorder-related factors corresponding to the contextual ICF categories person and environment (here work environment), and to the health condition [31]. Modifiable factors mentioned at the personal level concerned e.g., coping/appraisal, self-efficacy, professional competence and perfectionism. With respect to the work environment, factors such as work demands, workplace culture, social support, job insecurity and decision latitude were mentioned. With respect to disorder-related factors, number of episodes, type of depressive disorder and co-morbidity were highlighted.

To evaluate the impact of these possible predictive factors identified as relevant by the expert group, we have conducted a systematic review of the scientific literature on factors related to WF and WP problems among currently depressed workers. The results will help to provide an evidence-based ground for the development of intervention programs to enhance work functioning and work participation, and will point out gaps in scientific evidence that need to be addressed in future research.

## Methods

### Literature Search

For WF and WP, two complementary searches were conducted in three literature databases (PsycINFO, PubMed and Scopus). Original studies (in English) were identified that were published from 1995 to 2008. No restrictions with respect to the study design were applied. The search strategies consisted of a depression component (e.g., depressive disorder) and either a WF component (e.g., work productivity, work limitations) or a WP component (e.g., return-to-work, disability benefits, absenteeism, turnover, job loss). In “[Appendix 1](#)” the search terms are presented. The following eligibility criteria were defined: (1) Studies reported on factors related to WF or WP outcome in depressed workers, (2) Study samples included at least 50% employed participants or provided subgroup (or interaction) analysis for the employed participants, (3) Depression was defined as dysthymic disorder, minor depressive disorder or major depressive disorder, (4) Depression had to be diagnosed by an expert (e.g., following DSM-IV [32] or ICD-10 [33] criteria), or had to be based on a well-defined cut-off score for depressive symptoms of a validated self-report instrument (e.g., BDI [34], HDRS [35], or CES-D [36]. If samples consisted partly of non-depressed workers, only studies that conducted subgroup (or interaction) analysis with depressed workers were included. After the screening of titles and abstracts, inclusion of potential relevant studies was evaluated by at least two authors. We excluded studies of bipolar or schizophrenic disorders, those with a sample selection based on physical/somatic complaints, and those including a depressed sample in which all participants had a severe physical/somatic illness such as cancer or multiple sclerosis. Reviews were excluded, but reference lists were inspected for additional studies. If the title and the abstract provided no information about depressed or employed participants, a WF or WP outcome measure or a related factor, we excluded the studies. If the title and the abstract provided insufficient information about the proportion of depressed or employed participants, we retrieved the full publication.

## Assessment of Methodological Quality of Included Studies

The distinction between cross-sectional and longitudinal studies is the one aspect of methodological quality that was incorporated in our evidence synthesis. However, to increase transparency and accurate documentation, a more elaborate assessment of methodological quality was conducted. No single instrument to assess quality in observational studies can be identified as the gold standard [37, 38]. However, the authors of a recent review of available instruments [38] suggested that such an instrument should cover three fundamental domains: selection of participants, measurement of variables, and control of confounding. The 10-item instrument that was designed for this study covers those three domains along with accurate reporting of main features of study population, data analysis, data presentation, and power (see “Appendix 2” for the full items). The individual items of the checklist were rated by two independent reviewers as either positive (score 1) or negative/unable to determine (score 0). Any difference between the reviewers was discussed until consensus was reached. In accordance with the recommendations by Sanderson et al. [38] we made no summary judgment of low versus high quality.

## Evidence Synthesis and Grouping of Work-related Outcome Measures

For the purpose of synthesizing the results we clustered similar work outcomes. Two different WP outcomes emerged: work disability and termination of employment. Work disability was considered as depression-related inability to work, for a short period (temporarily) or longer periods (even permanently). Outcome measures for work disability included sickness absence or absenteeism, return to work, and disability benefits. Terminating employment was defined as voluntary or involuntary (partly) leaving the workforce, when no health reasons were mentioned. Outcome measures for terminating employment included (early) retirement, job loss or unemployment, quitting and decreasing contract working hours.

WF outcomes included work limitations, such as difficulties in meeting certain demands of the job and work productivity (e.g., lost productive time or inefficient days). When both univariate and multivariate analyses were presented, the multivariate results were incorporated in the result tables. When multiple similar work outcome measures were used in one study, the outcome with highest information value was used, i.e., a continuous work disability measure was preferred to a dichotomous work disability measure.

Based on Ariens et al. [39], the level of evidence for the association with WP or WF was rated for each factor according to the following grading:

1. Strong evidence: consistent findings of at least two longitudinal studies
2. Moderate evidence: consistent findings of at least two studies of which only one is a longitudinal study, or consistent findings of at least two longitudinal studies, but one cross-sectional study opposing these results.
3. Limited evidence, three possibilities: findings of only one longitudinal study, or consistent findings of at least two cross-sectional studies, or two longitudinal studies with consistent findings, but two cross-sectional studies opposing these results.
4. Inconclusive evidence: all other findings e.g., opposing findings of at least two studies, findings of only one cross-sectional study or no studies with significant findings available.

Consistent findings were defined as studies demonstrating significant relationships in the same direction, either positive or negative. Results were considered ‘opposing’ when both positive and negative relationships were present at the same time. Studies without significant findings were considered neither consistent nor opposing.

## Results

### Description of Inclusion and Exclusion

The electronic literature search resulted in 317 hits for WP and 629 hits for WF that were screened for eligibility. Based on title and abstract, 71 articles were full-text reviewed (53 WP and 18 WF). Of these 71 articles, 41 were excluded for various reasons. Nine articles were reviews. Screening the reference lists of these reviews resulted in two additional WP publications [40, 41]. The main reason for excluding the other 32 publications was that no factors related to WP or WF were presented for a depressed (sub-)group (22 studies). These studies compared, for example, a depressed and a non-depressed group on work outcomes, or the effect of an intervention on work outcomes in a depressed population. Other reasons for exclusion were: no WP outcome was included (3 studies), participants were not employed at baseline or their work status was unclear (4 studies), or the full text was not available (3 studies). In total, 30 studies were selected (19 WP, 11 WF). Because five of these studies addressed both WP and WF, 25 unique publications could be identified, based on data from 10 industrialized countries such as USA, Australia, Canada, and several European countries. In Table 1 an overview of the selected studies can be found. More detailed information about the work outcome, related factors, study population, depression measure, study design, and the main findings of each



**Table 1** Overview of studies

Study ID	Year	Country	<i>n</i>	Population	Design	Outcome
1 Birnbaum et al. [69]	2003	USA	5,295	Non specified group of workers	C	WP
2 Buist-Bouwman et al. [70]	2005	The Netherlands	573	Non specified group of workers	C	WP
3 Dewa et al. [71]	2002	Canada	1,521	Workers in finance and insurance industry	C	WP
4 Dewa et al. [72]	2003	Canada	997	Workers in finance and insurance industry	L	WP
5 Druss et al. [12]	2000	USA	412	Workers in manufacturing industry	C	WP
6 Kruijshaar et al. [42]	2003	The Netherlands	439	Non specified group of workers	C	WP
7 Laitinen-Krispijn and Bijl [43]	2000	The Netherlands	233	Non specified group of workers	L	WP
8 Lepine et al. [41]	1997	Belgium, France, Germany, The Netherlands, Spain, UK	13,359	Non specified group of workers	C	WP
9 Nieuwenhuijsen et al. [63]	2004	The Netherlands	49	Non specified group of workers	C	WP
10 Ryttsälä et al. [73]	2005	Finland	269	Non specified group of workers	C	WP
11 Ryttsälä et al. [74]	2007	Finland	186	Non specified group of workers	L	WP
12 Simon et al. [40]	2000	USA	229	Non specified group of workers	L	WP
13 Sorvaniemi et al. [75]	2003	Finland	185	Non specified group of workers	C	WP
14 Souëtre et al. [76]	1997	France	345	Non specified group of workers	C	WP
15 Claassen et al. [77]	2007	USA	2,341	Non specified group of workers	C	WP and WF
16 Druss et al. [68]	2001	USA	1,200	Non specified group of workers	L	WP and WF
17 Lerner et al. [11]	2004	USA	229	Non specified group of workers	L	WP and WF
18 Sanderson et al. [22]	2007	Australia	49	Workers in call centres	L	WP and WF
19 Stewart et al. [21]	2003	USA	219	Non specified group of workers	C	WF and WF
20 Adler et al. [24]	2006	USA	286	Non specified group of workers	L	WF
21 Endicott and Nee [78]	1997	USA	77	Non specified group of workers	C	WF
22 Hawthorne et al. [79]	2003	Australia	390	Non specified group of workers	C	WF
23 Kornstein et al. [80]	2000	USA	390	Non specified group of workers	C	WF
24 Lerner et al. [81]	2004	USA	246	Non specified group of workers	C	WF
25 Michon et al. [82]	2008	The Netherlands	184	Non specified group of workers	L	WF

*Design:* L longitudinal, C cross-sectional

*Outcome:* WF work functioning, WP work participation

of these publications is summarized in a data extraction form (Electronic supplementary material).

### Methodological Quality of Included Studies

About half of the studies had a cross-sectional design, while the other half used longitudinal data. All but three studies [21, 22, 42] were deemed to have sufficient statistical power. The mean overall quality score of the studies was fairly high, i.e. 7.9 for WF and 8.2 for WP, with a range from 4 to 10. The complete results of the quality assessment of the included studies can be found in “Appendix 2”.

### Results from the Literature Search

The main results of the 30 included studies are reported in the Tables 2, 3, and 4, presenting relationships of personal,

work-related, and disorder-related factors with both WP and WF outcomes, respectively. The WP and WF outcomes that are reported in these tables are all stated in a negative direction, e.g., more work limitations, lower work productivity, higher risk for work disability, or longer duration of work disability.

### Personal Factors

As shown in Table 2, a total of 12 unique studies (10 WP, 2 WF) reported on personal factors. The studies included both WP outcomes (short-term and/or long-term work disability and employment termination). In addition, one WF outcome was addressed (work limitations). Risk factors for increased work disability were older age and previous spells of sick leave (moderate evidence), lower education, low self-esteem, feelings of hopelessness about the future, and low social functioning (all limited

**Table 2** Personal factors related to work participation (WP) and work functioning (WF) of currently depressed workers

Related factor	Outcome	<i>D</i>	<i>N</i>	<i>R</i>	Ref nr.	Conclusion
Gender (male)	WP: work disability	A	233	+	Laitinen-Krispijn and Bijl [43]	Inconclusive
		A	186	+	Rytsala et al. [74]	
		A	5,295	–	Birnbaum et al. [69]	
		A	5,295	=	Birnbaum et al. [69]	
		B	1,521	+	Dewa et al. [71]	
		B	269	–	Rytsala et al. [73]	
		B	345	=	Sou��tre et al. [76]	
		B	997	=	Dewa et al. [72]	
Age (older)	WP: terminating employment	B	1,521	+	Dewa et al. [71]	Inconclusive
	WF: work limitations	B	390	+	Kornstein et al. [80]	Inconclusive
	WP: work disability	A	186	+	Rytsala et al. [74]	<b>Moderate evidence for positive relation</b>
		A	185	+	Sorvaniemi et al. [75]	
		B	269	+	Rytsala et al. [73]	
		B	1,521	+	Dewa et al. [71]	
		B	412	–	Druss et al. [12]	
		B	997	=	Dewa et al. [72]	
		B	345	=	Sou��tre et al. [76]	
		B	1,521	±	Dewa et al. [71]	
Education (higher)	WP: terminating employment	B	1,521	±	Dewa et al. [71]	Inconclusive
	WP: work disability	A	186	–	Rytsala et al. [74]	<b>Limited evidence for negative relation</b>
Marital status	WP: work disability	A	185	=	Sorvaniemi et al. [75]	
		A	186	=	Rytsala et al. [74]	Inconclusive
History of sick leave	WP: work disability	B	345	=	Sou��tre et al. [76]	
		A	186	+	Rytsala [749]	<b>Moderate evidence for positive relation</b>
Low Self-esteem	WP: work disability	B	345	+	Sou��tre [761]	
		A	185	+	Sorvaniemi et al. [75]	<b>Limited evidence for positive relation</b>
Hopeless about future	WP: work disability	A	184	+	Michon et al. [82]	
		A	186	+	Rytsala et al. [74]	<b>Limited evidence for positive relation</b>
Low social functioning	WP: work disability	A	186	+	Rytsala et al. [74]	
		A	186	+	Rytsala et al. [74]	<b>Limited evidence for positive relation</b>
Higher neuroticism	WF: work limitations	A	184	+	Michon et al. [82]	
		A	184	+	Michon et al. [82]	<b>Limited evidence for positive relation</b>
More external locus of control	WF: work limitations	A	184	+	Michon et al. [82]	
		A	184	+	Michon et al. [82]	<b>Limited evidence for positive relation</b>
Alcoholism/substance abuse	WP: work disability	A	186	=	Rytsala et al. [74]	
		B	269	=	Rytsala et al. [73]	Inconclusive
Social adjustment	WP: work disability	A	186	=	Rytsala et al. [74]	Inconclusive
Social support	WP: work disability	A	186	=	Rytsala et al. [74]	Inconclusive
Living area	WP: work disability	B	345	=	Sou��tre et al. [76]	Inconclusive
Income level	WP: work disability	B	345	=	Sou��tre et al. [76]	Inconclusive

*D* design of study (*A* longitudinal, *B* cross-sectional), *N* total of participants from the sample fitting the inclusion criteria (depressed and employed) at baseline, *R* relationship between factor and outcome. –, A negative relationship between factor and outcome; =, No significant ( $p \leq .05$ ) positive or negative relations found between factor and outcome; +, A positive relationship between factor and outcome; ±, Opposing relations with the outcome are present for different levels of the factor (non linear relation)

evidence). Although gender was frequently studied in relation to work disability, no clear association could be found because of conflicting results. All other relations between personal factors and WP outcomes also remained

inconclusive, mostly because of single cross-sectional studies or non-significant findings.

Two studies were identified that reported on WF related to gender and personality traits. Higher neuroticism, more

**Table 3** Work factors related to work participation (WP) and work functioning (WF) of currently depressed workers

Related factor	Outcome	<i>D</i>	<i>N</i>	<i>R</i>	Ref nr.	Conclusion
Full-time employment	WP: work disability	B	345	+	Sou��tre et al. [76]	Inconclusive
Type of financial reward (wages)	WP: work disability	B	345	+	Sou��tre et al. [76]	Inconclusive
Type of company	WP: work disability	B	345	=	Sou��tre et al. [76]	Inconclusive
		B	997	��	Dewa et al. [72]	
Holding a managerial position	WP: work disability	A	185	=	Sorvaniemi et al. [75]	Inconclusive
		B	345	+	Sou��tre et al. [76]	
		B	1,521	=	Dewa et al. [71]	
	WP: terminate employment	B	1,521	=	Dewa et al. [71]	Inconclusive
Type of occupation (sales, service or support vs. other)	WF: work limitations	B	246	+	Lerner et al. [81]	Inconclusive
Low level of functioning at work	WP: work disability	A	186	+	Rytsala et al. [74]	<b>Limited evidence for positive relation</b>
Frequent contact by supervisor	WP: work disability	A	49	+	Nieuwenhuijsen et al. [63]	<b>Limited evidence for positive relation</b>
Supervisor contacts other professionals besides OP	WP: work disability	A	49	��	Nieuwenhuijsen et al. [63]	<b>Limited evidence for negative relation</b>
Supervisor promotes gradual RTW	WP: work disability	A	49	=	Nieuwenhuijsen et al. [63]	Inconclusive

*D* design of study (*A* longitudinal, *B* cross-sectional), *N* total of participants from the sample fitting the inclusion criteria (depressed and employed) at baseline, *R* relationship between factor and outcome.   , A negative relationship between factor and outcome; =, No significant ( $p \leq .05$ ) positive or negative relations found between factor and outcome; +, A positive relationship between factor and outcome;   , Opposing relations with the outcome are present for different levels of the factor (non linear relation)

external locus of control, and lower self-esteem were related to more limitations in work functioning (limited evidence). With respect to gender, no conclusive evidence was found because the single study, illustrating greater work limitations in men, used a cross-sectional design.

### Work-Related Factors

Table 3 shows that seven (6 WP, 1 WF) out of the 30 studies reported on workplace factors. The selected studies included (short-term and/or long-term) work disability and employment termination (in this case a composite measure of termination, retirement or quitting) as WP outcomes, and work limitations as a WF outcome. The work-related factors in these studies included: employment characteristics (hours employed i.e., full-time versus part-time, type of financial reward (i.e., wages versus fees), managerial or non-managerial position, type of company, type of occupation), supervisory behavior, and previous functioning at work.

Work-related factors studied in relation to WP resulted often in inconclusive evidence because results were provided by single cross-sectional studies or studies without significant results. This is the case for hours employed, type of financial rewards, type of company (i.e., private, administration or self-employed), type of occupation and position. For a few work-related factors linked to the worker or the supervisor, evidence for a relationship with WP could be established. Limited evidence was found that

increased work disability is associated with a ‘previous low level of functioning at work’. Frequent contact by the supervisor during sick leave increased time until full RTW in the subgroup of depressed workers (limited evidence). However, contact between supervisor and other professionals besides the occupational physician, leads to shorter time to RTW (limited evidence).

The only work-related factor showing an association with WF was type of occupation (i.e., sales, service or support occupations compared to production, construction, repairs, transport occupations), but evidence remained inconclusive as only a single cross-sectional study reported on this relationship.

### Disorder-Related Factors

Table 4 shows that 24 studies (17 WP, 7 WF) reported on disorder-related factors. The studies included both WP outcome clusters (short- or long-term work disability and employment termination) and both WF outcomes (work productivity and work limitations). Several disorder-related factors, that might somewhat overlap, were addressed: severity of depressive symptoms, type of the disorder (major depressive disorder (MDD), mild/minor depression, dysthymia), duration of the depression (single episodes, recurrences, chronic depression), clinical history (previous episodes, suicide attempts), clinical improvement of depression (no recovery), and co-morbidity (mental and/or physical disorders).



**Table 4** Disorder factors related to work participation (WP) and work functioning (WF) of currently depressed workers

Related factor	Outcome	D	N	R	Ref nr.	Conclusion
More severe symptoms	WP: work disability	A	186	=	Rytsala et al. [74]	<b>Limited evidence for positive relation</b>
		A	185	=	Sorvaniemi et al. [75]	
		B	997	+	Dewa et al. [72]	
		B	1,521	+	Dewa et al. [71]	
		B	269	+	Rytsala et al. [73]	
	WP: terminate employment WF: work limitations	B	345	+	Sou��tre et al. [76]	Inconclusive evidence <b>Moderate evidence for positive relation (physical demands: =)</b>
		B	1,521	+	Dewa et al. [71]	
		A	286	+	Adler et al. [24]	
		B	246	+	Lerner et al. [81]	
		B	49	+	Sanderson et al. [22]	
Type: MDD or MDD +Dysthymia (vs Dysthymia)	WF: work productivity loss	B	77	+	Endicott and Nee [78]	Inconclusive evidence
		B	49	=	Sanderson et al. [22]	
		A	233	-	Laitinen-Krispijn and Bijl [43]	
		A	229	=	Lerner et al. [11]	
		B	219	+	Stewart et al. [21]	
	WP: terminate employment WF: work limitations	A	229	=	Lerner et al. [11]	Inconclusive Inconclusive (physical demands and time-management demands: =) <b>Limited evidence for positive relation</b>
		B	229	+	Lerner et al. [11]	
		B	219	+	Stewart et al. [21]	
		B	523 <sup>a</sup>	+	Hawthorne et al. [79]	
		A	49	+	Sanderson et al. [22]	
Type: severe/MDD (vs. minor/ mild/moderate)	WP: work disability	B	269	+	Rytsala et al. [73]	<b>Moderate evidence for positive relation</b>
		B	439 <sup>a</sup>	=	Kruijshaar et al. [42]	
		B	13,359 <sup>a</sup>	+	Lepine et al. [41]	
		B	439 <sup>a</sup>	+	Kruijshaar et al. [42]	
		A	1,200	+	Druss et al. [68]	
	WP: work disability	A	186	+	Rytsala et al. [74]	Inconclusive evidence <b>Strong evidence for positive relation</b>
		A	185	=	Sorvaniemi et al. [75]	
		A	49	=	Sanderson et al. [22]	
		B	2,341	+	Claassen et al. [77]	
		B	2,341	+	Claassen et al. [77]	
History: prior suicide attempts  History: existing/more previous episodes	WP: work disability	A	186	=	Rytsala et al. [74]	<b>Limited evidence for positive relation</b>
		B	345	+	Sou��tre et al. [76]	
		B	269	+	Rytsala et al. [73]	
		B	439 <sup>a</sup>	=	Kruijshaar et al. [42]	
		B	439 <sup>a</sup>	=	Kruijshaar et al. [42]	
	WP: work disability	B	2,341	+	Claassen et al. [77]	Inconclusive evidence Inconclusive evidence <b>Limited evidence for positive relation</b>
		B	2,341	+	Claassen et al. [77]	
		A	186	=	Rytsala et al. [74]	
		B	345	+	Sou��tre et al. [76]	
		B	269	+	Rytsala et al. [73]	

**Table 4** continued

Related factor	Outcome	D	N	R	Ref nr.	Conclusion
Co-morbidity: physical or mental condition	WP: work disability	A	185	+	Sorvaniemi et al. [75]	<b>Moderate evidence for positive relation</b>
		A	186	=	Rytsala et al. [74]	
		B	573 <sup>a</sup>	+	Buist-Bouwman et al. [70]	
		B	412	+	Druss et al. [12]	
		B	269	+	Rytsala et al. [73]	
		B	219	+	Stewart et al. [21]	
		B	997	=	Dewa et al. [72]	
		B	269	=	Rytsala et al. [73]	
		B	345	=	Sou��tre et al. [76]	
		A	229	+	Simon et al. [40]	
Less clinical improvement/(Less or no recovery)	WP: work disability	A	49	=	Sanderson et al. [22]	<b>Limited evidence for positive relation</b>
		A	229	+	Simon et al. [40]	
	WP: terminate employment	A	286	+	Adler et al. [24]	<b>Limited Evidence for positive relation</b>
	WF: work limitations	A	1,200	+	Druss et al. [68]	<b>Moderate evidence for positive relation</b>
	WF: work productivity loss	B	219	+	Stewart et al. [21]	

*DDD* major depressive disorder, *D* design of study (*A* longitudinal, *B* cross-sectional), *N* total of participants from the sample fitting the inclusion criteria (depressed and employed) at baseline

<sup>a</sup> Exact number of workers within baseline depressed population is unclear, but above 50%. *N* of depressed group is presented

*R* relationship between factor and outcome. −, A negative relationship between factor and outcome; =, No significant ( $p \leq .05$ ) positive or negative relations found between factor and outcome; +, A positive relationship between factor and outcome

All factors were studied in relation to work disability. Increased work disability was associated with: longer duration of the current episode (strong evidence), MDD (moderate evidence), co-morbid mental or physical disorders (moderate evidence), more severe symptoms (limited evidence), more previous episodes (limited evidence), and less clinical improvement (limited evidence). In line with the results on work disability, less clinical improvement is related to employment termination (limited evidence).

Over one-third of the relationships with disorder-related factors were not significantly related to WP. However, those studies which did find a relationship did so in the expected direction: more symptoms, more severe type of disorder such as MDD, less clinical improvement, and the presence of co-morbid disorders were all associated with less WP. One exception is the cross-sectional study of Laitinen-Krispijn [43] which found that in a subgroup of depressed men, those with dysthymia, had a higher risk of work absence compared to those with MDD. In addition, it is interesting to note that higher symptom severity was consistently related to unfavorable WP outcomes in cross-sectional studies, but not in the two longitudinal studies that were found.

With respect to WF, three studies, of which one had a longitudinal design, showed that more severe symptoms were associated with more work limitations in terms of mental-interpersonal demands, output demands, and time management demands, but not with physical demands (moderate evidence). In addition, less clinical improvement was related to increased work limitation (limited evidence). Impaired work productivity was associated with less clinical recovery (moderate evidence), and with MDD (or MDD with dysthymia) when compared to employees with dysthymia only (limited evidence). In general, WF is less studied compared to WP for the disorder-related factors, but as with WP, all relationships were in the expected direction and non-significant results were not often reported.

## Discussion

This systematic literature review on personal, work-related and disorder-related factors associated with work participation (WP) or work functioning (WF) among depressed workers identified 30 studies. The results show that disorder-related factors were studied most often, followed by personal factors and work-related factors. Our study identifies several gaps in knowledge regarding factors related to WP and WF problems in depressed workers and provides valuable information needed to design future research. In particular, modifiable work-related and personal factors should be addressed in further research. The presented overview of factors related to WF and WP in depressed workers may also be useful for various stakeholders and

professionals wanting to develop occupational health interventions for this population.

## Summary of Review Findings

With respect to WP, the following relationships were supported by the literature. Strong evidence was found for the association between a long duration of depression and more (short-term and/or long-term) work disability. Moderate evidence was obtained for a relationship between more severe types of depressive disorders, presence of co-morbid mental or physical disorders, older age, history of previous sick leave, and work disability. Limited evidence was found to support a relationship between increased work disability, and low education, low self-esteem, feelings of hopelessness, low social functioning, impaired previous work functioning, supervisory behavior, severe depressive symptoms, previous depressive episodes, and little clinical improvement. Other participation outcome measures, such as unfavorable career perspectives, were studied less often. We found limited evidence for an association between less clinical recovery and termination of employment.

Regarding WF, moderate evidence was found for more severe symptoms to be associated with more work limitations, and for less clinical improvement of depression to be related to decreased work productivity.

Although limited to strong evidence could be established for some associations, many other relationships yielded inconclusive evidence, often due to a lack of longitudinal studies, hindering the identification of prospective relationships. In addition to the inconclusive evidence, a complete absence of studies (either cross-sectional or longitudinal) was observed for many possible combinations between potential predictors and work outcomes. For example, the type of financial reward was studied in relation to work disability, but not to other WP measures or any of the WF outcomes. This gap in the literature was predominantly present with respect to work-related factors, WF outcomes and certain WP outcomes, such as negative career perspectives.

## Comparison and Contrast with Other Mental and Somatic Health Disorders

No other systematic review describing predictors of work functioning or work participation in workers with other mental disorders could be identified. The same holds for predictors of work functioning among workers with somatic health disorders. However, a review of factors predictive of work participation in workers with chronic somatic disorders by Detaille et al. [44] revealed some similarities with findings from our review. In this review, factors predictive of work disability in somatic disorders

included more severe health complaints (corresponding to more severe depression in our study), older age and sickness absence. In contrast to our findings, female gender was consistently shown to be related to unfavorable work participation. Another review reported factors affecting work participation for people absent from work due to mental health problems. Contrary to our review the authors included also mental health problems other than depression, non working groups (e.g., recently unemployed or students) and samples selected because of a physical health problem [45]. In line with our results this review shows that studies have produced opposing results in some cases, particularly in the case of demographic factors.

### Strengths

To our knowledge, this is the first review on factors related to WP and WF in depressed workers. While Lerner and Henke [1] describe thoroughly the consequences of depression in terms of productivity and absence, this review aims to identify factors that can be used for the development of future interventions to prevent or reduce negative consequences of depression on work outcomes. In the level of evidence synthesis, and similar to the method used by Franche and colleagues [46], we transparently weighted the quality of the study based on the research design (cross-sectional versus longitudinal). This strategy addresses the limitation of cross-sectional research to draw conclusions about prospective relationships, without ignoring a substantial part of the available evidence in this field that is characterized by a limited number of relevant studies. In addition, no arbitrary cut-off scores for low versus high quality studies were used in line with the recommendations by Sanderson [38].

This review describes a broad range of work outcomes including both work participation and work functioning in a sensitive and comprehensive literature search using multiple databases. Despite the fact that WP and WF form a continuum, they seem to be artificially separated in most existing literature. Indeed, only 5 of the 30 studies selected in this review described WP and WF outcomes simultaneously.

It should also be noted that the review was conducted by a multidisciplinary team, including researchers from different institutes, with different training and different cultural backgrounds. Combining these perspectives has contributed to the development of a comprehensive view on the related concepts of WP and WF.

### Methodological Considerations

In the literature search we gathered data representing determinants of WP and WF in the specific target population of depressed workers. This demarcated search

deliberately ignored the literature that addresses determinants for the onset of depression and determinants of work outcomes among depressed but non-working individuals (such as re-employment) or in a non-depressed worker population (such as absenteeism among workers with burnout). However, these studies may provide evidence from which ideas can be derived for the development of new occupational health interventions.

Another limitation concerns the definition and categorization of the work outcomes. To date, no gold standard or commonly accepted definition for the concepts of WP and WF exist. Based on other definitions, our outcome measures could have been categorized differently, possibly yielding different results. With regards to work disability, several indicators of sickness absence have been aggregated in this review. Research shows that even though many absence outcomes overlap, differences were found with respect to certain prognostic factors or outcomes of interventions [47, 48]. For example, the frequency of absence might tell something about the need for recovery, while the duration of absence might be more related to ill-health [49]. A comprehensive explanation for these differences cannot yet be given. To our knowledge, the overlap between WP and WF measures has not yet been studied within a population of depressed workers. We believe that the transparent categorization we used resulted in a comprehensive and clear view on WP and WF.

Some methodological problems have been encountered. First, the studies were designed differently which hinders the aggregation of findings. The difference between cross-sectional and longitudinal designs has been transparently addressed through the weighting of the levels of evidence. We have been cautious not to interpret the established associations as prognostic relationships, but refer to them as ‘related’ or ‘associated’ factors. Only in cases of strong evidence, i.e., based on at least two longitudinal studies, we conclude that a predictive relation is present. Another important issue concerns the aggregation of results from studies partly based on univariate analyses and partly based on multivariate analyses. It can be assumed that studies using univariate models may find significant relationships for certain factors that might become non-significant in multivariate models. In our evidence synthesis, however, the results of these two types of analysis were combined. It becomes even more complicated when we take into account that the design of multivariate models and the selection for confounder control are often not well described and subject to different approaches.

With respect to the external validity of the studies, it must be noted that all studies were conducted in western industrialized countries, limiting generalization to other cultures and social security contexts. The relationships for which limited evidence was found were often based on

studies from one country. As many countries differ with respect to their social security systems [49], the applicability of findings will vary for various contexts, as highlighted in the evidence-based medicine approach [50]. For example, European research on predictors or associated factors of return to work among employees with either physical or mental health problems shows that the impact of work characteristics differs in European countries [49]. It is encouraging, however, that the relationship supported with moderate to strong evidence in our review often stemmed from different countries.

### Implications and Recommendations for Practice

The presented overview of factors may be useful for professionals to develop occupational health interventions for depressed workers. Some of the factors identified as possible predictors (such as the severity of the disorder, self-esteem and supervisory behavior) are modifiable within a clinical or work setting.

The severity of the disorder may be improved when evidence-based (guideline-concordant) clinical care is available and implemented [51–53]. Unfortunately, this is not realized in all westernized countries [54]. In this paper, several indices of the severity of mood disorders were considered as related factors. The strongest level of evidence relating mood disorders to the outcomes of interest was for the relationship between duration of depression and work participation. This suggests that while the severity of symptoms/disorder is of importance, what may be driving the impact of depression on work participation might be the duration of the episode. The longer an episode of mood disorder, the longer a person is away from the social network of work and from work goals and activities. Over time, this erodes job attachment, considered to be a critical element of the worker–workplace relationship to the return-to-work process. These findings also have practical implications. Many available interventions are targeting, and successfully reducing, depressive symptom severity. However, it appears to be equally important to reduce the duration of the mood disorder episodes. Several strategies can be considered (1) Improve knowledge of health providers about diagnosis of depression: In many countries, there is a notable underdiagnosis of depression in primary care patients [55–59] and absence of diagnosis will delay obtaining treatment and prolong episode duration. (2) Improve access to appropriate psychiatric care and reduce delays in treatment delivery: Among those with a depression diagnosis, treatment provision has been shown to be inadequate [54–60], which can, of course, also prolong duration of depressive episode. (3) Increase general awareness about depression: Workers may delay seeking treatment due to lack of knowledge about depression or due

to social stigma [61, 62]. Increasing their knowledge about the significance of their own symptoms, and fostering more tolerance in society about disclosure of depression may lead to speedier access to treatment, and reduce episode duration. (4) Facilitate access to treatment through workplace channels: Making it easier for the worker to disclose depression and access treatment via workplace channels may impact on speed of diagnosis and treatment delivery.

Besides intervening on the severity of the disorder, our findings suggest that personal factors like self-esteem and self-efficacy, and work-related factors like supervisory behavior could receive extra attention when designing interventions. Cognitions about the self-concept can be changed in a clinical setting and in the workplace. In the workplace, a supervisor could provide positive feedback to enhance self-esteem. Self-efficacy could be stimulated by adapting work tasks in such a way that work-related success experiences are guaranteed; and supervisors could be instructed to contact other health professionals besides the occupational physician when workers experience a clinical depression [63].

A recent Cochrane review [27] showed that the evidence for the effectiveness of existing clinical worker-directed interventions to improve work outcomes is limited. Moreover, no studies on work-directed interventions were identified. Therefore, it would be interesting to explore the impact of intervening on both personal and work-related factors to enhance work outcomes.

### Recommendations for Future Research

Only a few factors, which had been identified *a priori* by occupational health experts, were actually present in scientific literature [31]. With respect to personal factors, modifiable concepts such as cognitions (e.g., perceived competence, self-efficacy and perfectionism) and skills (e.g., coping and problem solving) could be considered in future research. Future prognostic and intervention studies also should pay more attention to work-related factors. In the development of interventions, it is advisable to focus on modifiable factors such as work demands (e.g., work pressure, mental or emotional demands), work resources (e.g., financial rewards, social support, autonomy, security), types of tasks (e.g., working with clients/customers versus administrative work), physical workplace characteristics (e.g., day light), workplace culture (e.g., supervisory behavior, leadership style, organizational justice), and availability of work. Of these work-related factors, supervisory behavior might be a promising factor, because we found some evidence for a relationship with work participation. Studies of sick-listed workers with physical disorders have shown a relationship between supportive supervisory behavior and work disability. For example,

early contact between the supervisor and worker, and contact by a healthcare provider with the workplace can prevent work disability [46]. However, within a subgroup of workers with adjustment disorders, frequent contact by the supervisor during sick leave increased time until full RTW in the subgroup of depressed workers [63]. As our finding regarding supervisory behavior for depressed workers is based on one single study, we recommend a replication of this study. Work-related factors such as high job stress and reorganizational stress have been associated with work disability among sick-listed workers with poor mental health in general [45], and could therefore also be addressed.

With respect to the outcome measures, several recommendations can be made for future research. In all, more attention should be paid to WP outcomes besides work disability, such as early retirement. Either from the WP or the WF perspective, the career development of depressed workers might be a topic of interest [64], using for example decreased promotion prospects or turnover to lower functions as outcome measures. Moreover, when the body of literature in this field increases, future reviews or meta-analyses might consider using more detailed categorizations of work outcomes. Broadening and differentiating the work outcomes, taking the complexity and developmental nature of these concepts into account, might improve our understanding and might contribute to better interventions. For instance, future studies could differentiate between short-term (temporal) and long-term (permanent) disability [65, 66] or address different stages in the return to work process [67]. Future studies could integrate both types of work outcomes in their longitudinal research designs, ideally in a multicenter study with participation from multiple countries. Such designs may contribute to a better conceptualization of WP and WF from an international perspective and to the identification of common predictive factors for both outcomes in depressed workers. Longitudinal studies that combine WP and WF may be of additional value because they may provide better insight in the relative impact of depression on both work outcomes [22, 68], the relative or combined impact of WP and WF on depression-related costs for employers [68], or in the mechanisms explaining the

adverse depression outcomes over time [11, 22]. In addition, future studies on the effectiveness of occupational health interventions should incorporate both WF and WP to provide a comprehensive evaluation.

## Conclusion

This review provides support for several associations between personal, work-related or disorder-related factors, and work outcomes. With respect to work participation, moderate to strong evidence was found for an association between a long duration of the depressive episode, more severe types of depressive disorders, presence of co-morbid mental or physical disorders, older age, history of previous sick leave, and the outcome of work disability. With regards to work functioning, more severe depressive symptoms were associated with more work limitations, and less clinical improvement was related to a reduction of work productivity (moderate evidence). The results of this literature overview can be used to develop new evidence-based interventions.

We recommend conducting more longitudinal, multi-center studies to identify predictive factors of WP and WF in depressed workers. In particular, studies should focus on modifiable personal and work-related factors and should address a broader variety of WP outcomes, e.g., change of jobs and career perspectives. In addition, WF and especially work productivity, should be studied more often.

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## Appendix 1

See Table 5.

**Table 5** Search string

### General

Time period: 1995–2008

Search machines: PsycINFO, PubMed (Medline), Scopus

Language: English

Adult population

NOT (schizophrenia OR postpartum)

Words in title or abstract or thesaurus term (PsycINFO + Medline)

Words in title or abstract or keyword (Scopus)



**Table 5** continued

Medline	PsycINFO	Scopus
<i>Depression search terms</i>		
1. exp depressive disorder/	#8(explode neurotic depressive reaction/) or (explode dysthymic disorder) or (“depression (emotion)”) or (explode major depression) or (explode affective disorders) or (explode recurrent depression) or (explode reactive depression)	Depressive disorder*
2. exp DEPRESSION/		Depression
3. exp adjustment disorders/		Adjustment disorder*
4. exp mood disorders/		Mood disorder*
5. exp affective symptoms/		Affective symptom*
6. depressed	#7explode recurrent depression	depressed
7. depressive symptoms	#6explode reactive depression	depressive symptom*
8. 1 or 2 or 3 or 4 or 5 or 6 or 7	#5explode neurotic depressive reaction/	Reactive depression*
	#4explode dysthymic disorder	Dysthymic disorder*
	#3“depression (emotion)”	Recurrent disorder*
	#2explode major depression	
	#1explode affective disorders	
Same in all 3 search engines		
<i>Work functioning search terms</i>		
Work performance	Work ability	Work impairment
Productivity	Workability	Vocational impairment
Lost productivity	Work performance	Vocational functioning
Reduced productivity	Vocational outcome	Work limitations
Light duty	Employment outcome	Occupational functioning
Work cutback	Modified work	Work role functioning
Cutback days	Job accommodation	Performance evaluation
Presenteeism		Extra effort
Job performance		
Vocational performance		
Same in all 3 search engines		
<i>Work participation search terms</i>		
Sick* AND leave	Suboptimal employment	Work re-entry
Sick* AND absence	Work disability	Employment
Absenteeism	Work capacity evaluation	Employee
Absence from work	Disability benefits	Work adjustment training
Period of sick leave	Disability pension	Occupational intervention
Return to work	Disability duration	Unemployment
Turnover	Work status	Workplace
Getting fired	Employment status	Occupations
Demotion	Retirement	Work
Lower function	Reemployment	Vocational
Lower salary	Job re-entry	Occupational
New job	Job turnover	Vocational rehabilitation
Leaving job	Work turnover	

## Appendix 2

See Table 6.

**Table 6** Results from the quality assessment

	Study ID	Outcome	Quality assessment criterion										Total
			1	2	3	4	5	6	7	8	9	10	
1	Birnbaum et al. [69]	WP	1	1	1	1	1	1	0	0	0	0	6
2	Buist-Bouwman et al. [70]	WP	1	1	1	1	1	1	1	1	1	0	9
3	Dewa et al. [71]	WP	1	1	1	1	1	1	1	0	1	0	8
4	Dewa et al. [72]	WP	1	0	1	1	1	1	1	1	1	1	9
5	Druss et al. [12]	WP	1	1	1	1	0	1	1	1	1	0	8
6	Kruijschaar et al. [42]	WP	1	1	1	1	1	1	0	1	1	0	8
7	Laitinen-Krispijn and Bijl [43]	WP	1	1	1	1	1	1	1	1	1	1	10
8	Lepine et al. [41]	WP	1	0	1	1	1	0	0	0	1	0	5
9	Nieuwenhuijsen et al. [63]	WP	1	0	1	1	1	1	1	1	1	1	9
10	Rytsälä et al. [73]	WP	1	1	1	1	1	1	1	1	0	0	8
11	Rytsälä et al. [74]	WP	1	1	1	1	1	1	1	1	0	1	9
12	Simon et al. [40]	WP	1	1	1	1	1	1	1	1	1	1	10
13	Sorvaniemi et al. [75]	WP	1	1	1	1	1	1	1	0	1	0	8
14	Souëtre et al. [76]	WP	1	1	1	1	1	1	1	0	1	0	8
15	Claassen et al. [77]	WP/WF	1	0	1	1	1	1	1	1	1	0	8
16	Druss et al. [68]	WP/WF	0	1	0	0	1	1	1	1	1	1	7
17	Lerner et al. [11]	WP/WF	1	1	1	1	1	1	1	0	1	1	9
18	Sanderson et al. [22]	WP/WF	1	1	1	1	1	1	1	1	0	1	9
19	Stewart et al. [21]	WF/WF	1	1	1	1	1	1	0	0	0	0	6
20	Adler et al. [24]	WF	1	1	1	1	1	1	1	1	0	1	9
21	Endicott and Nee [78]	WF	0	0	1	1	1	1	0	0	0	0	4
22	Hawthorne et al. [79]	WF	1	1	1	1	1	1	1	0	1	0	8
23	Kornstein et al. [80]	WF	1	0	1	1	1	1	1	1	1	0	8
24	Lerner et al. [81]	WF	1	1	1	1	1	1	1	1	1	0	9
25	Michon et al. [82]	WF	1	1	1	1	1	1	1	1	1	1	10

WF work functioning, WP work participation

Scoring: 1 = positive, 0 = negative or unable to determine

Quality assessment criterion

1 = Main features of the study population are stated

2 = Participation/response rate (at baseline) is at least 50%

3 = Depression is assessed using standardized questionnaire or diagnostic classification system

4 = Predictive factor is clearly defined

5 = Work outcome (WF or WP) is clearly defined

6 = Statistical model used is appropriate for the outcome studied

7 = Statistical significance of association are tested and relevant parameters are presented

8 = Study controls for relevant confounding factors

9 = Number of cases in the analysis is at least 10 times the number of independent variables

10 = Research question is answered with longitudinal data

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